

I hereby certify that this correspondence is being deposited with the United States Postal Service, with sufficient postage, as first class mail in an envelope addressed to:

Commissioner for Patents  
Washington, D.C. 20231  
on March 19, 2002

Date of Deposit

Joseph F. Hetz - Reg. No. 41,070

Name of applicant, assignee or  
Registered Representative

Signature

Date of Signature

COPY OF PAPERS  
ORIGINALLY FILED

Case No. 8285/470

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

THOMAS LEE ADAMS

Serial No.: 10/038,048

Examiner: Not Yet Assigned

Filed: December 20, 2001

Group Art Unit: 2131

For: METHOD AND SYSTEM FOR  
CALLING LINE AUTHENTICATED  
KEY DISTRIBUTION

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION  
FILING DATE GRANTED**

Commissioner for Patents  
Washington, D.C. 20231

Attn.: Box Missing Parts

Dear Sir:

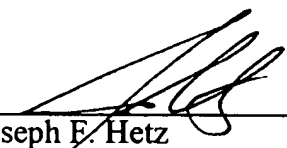
In accordance with the Notice to File Missing Parts of Application Filing Date Granted dated February 4, 2002, a copy of which is attached, enclosed herewith for filing are the following documents:

- ☒ Fully executed Patent Application Declaration combined with Power of Attorney (3 pages).

- ☒ Applicant is:
- ☐ a small entity, verified statement is:
- ☐ attached
- ☐ already filed
- ☒ other than small entity
- ☒ Checks totaling \$1,254.00 for:
- ☒ Filing Fee of \$740.00.
- ☒ Surcharge of \$130.00.
- ☒ Additional Claim Fees of \$384.00.
- ☒ 1 Check totaling \$40.00 for:
- ☒ Assignment Recordation Fee of \$40.00.
- ☒ Other: Assignment (2 pages); Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.
- ☒ The Commissioner is hereby authorized to charge any deficiencies in fees or credit overpayment to Deposit Account No. 23-1925. A duplicate copy of this paper is enclosed.

Respectfully submitted,

Dated: March 19, 2002

  
\_\_\_\_\_  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant(s)

BRINKS HOFER GILSON & LIONE  
P.O. BOX 10395  
CHICAGO, IL 60610  
(312)321-4200

MP 31

TRANSMITTAL LETTER			Case No. 8285/470
Serial No. 10/038,048	Filing Date December 20, 2001	Examiner Not Yet Assigned	Group Art Unit 2131
Inventor(s) THOMAS LEE ADAMS			COPY OF PAPERS ORIGINALLY FILED
Title of Invention METHOD AND SYSTEM FOR CALLING LINE AUTHENTICATED KEY DISTRIBUTION			

MAR 26 2002  
PATENT & TRADEMARK

TO THE COMMISSIONER FOR PATENTS

Transmitted herewith is Notice to File Missing Parts of Nonprovisional Application (1 page); Response to Notice to File Missing Parts of Nonprovisional Application (2 pages in duplicate); Fully executed Patent Application Declaration Combined with Power of Attorney (3 pages); Assignment (2 pages); Assignment Recordal Cover Sheet (1 page) Check- \$40.00 for Assignment Recordation Fee; Information Disclosure Statement (2 pages); Form PTO-1449 (1 page); 1 Other Art Reference; Postcard Receipt.

- ☐ Small entity status of this application under 37 CFR § 1.27 has been established by verified statement previously submitted.
- ☐ A verified statement to establish small entity status under 37 CFR §§ 1.9 and 1.27 is enclosed.
- ☐ Petition for a \_\_\_\_\_ month extension of time.
- ☐ The fee has been calculated as shown below:

	Claims Remaining After Amendment		Highest No. Previously Paid For	Present Extra
Total		Minus		
Indep.		Minus		
First Presentation of Multiple Dep. Claim				

Small Entity

Rate	Add'l Fee
x \$9 =	
x 42 =	
+ \$140 =	
Total add'l fee	\$

Other Than Small Entity

Rate	Add'l Fee
x \$18 =	\$
x \$84 =	
+ \$280 =	
total add'l fee	\$

- ☐ Please charge Deposit Account No. 23-1925 (BRINKS HOFER GILSON & LIONE) in the amount of \$ \_\_\_\_\_. A duplicate copy of this sheet is enclosed.
- ☒ A check in the amount of \$1,254.00 to cover the filing fee of \$740.00, the surcharge fee of \$130.00 and the additional claims fee of \$384.00 is enclosed.
- ☒ The Commissioner is hereby authorized to charge payment of any additional filing fees required under 37 CFR § 1.16 and any patent application processing fees under 37 CFR § 1.17 associated with this communication or credit any overpayment to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.
- ☒ I hereby petition under 37 CFR § 1.136(a) for any extension of time required to ensure that this paper is timely filed. Please charge any associated fees which have not otherwise been paid to Deposit Account No. 23-1925. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

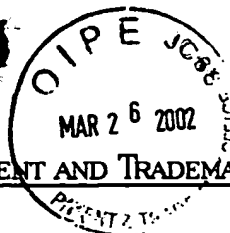
\_\_\_\_\_  
Joseph F. Hetz  
Registration No. 41,070  
Attorney for Applicant

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(312) 321-4200

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail, with sufficient postage, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on March 19, 2002.

Date: March 19, 2002

Signature: \_\_\_\_\_



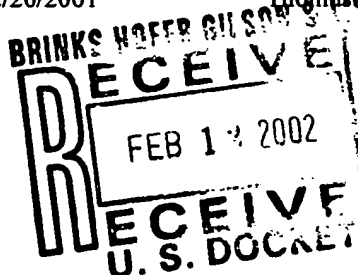
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COMMISSIONER FOR PATENTS  
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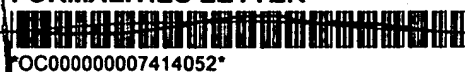
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/038,048	12/20/2001	Thomas Lee Adams	8285/470 (T00364)

BRINKS HOFER GILSON & LIONE  
SUITE 3600  
455 N. CITYFRONT PLAZA DR.  
CHICAGO, IL 60611



CONFIRMATION NO. 5776

FORMALITIES LETTER



OC000000007414052\*

Date Mailed: 02/04/2002

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$384.
 

03/28/2002 NBERHE	00000081	10038048
01 FC:101		740.00 OP
02 FC:105		130.00 OP
03 FC:103		216.00 OP
04 FC:102		168.00 OP

  - \$216 for 12 total claims over 20.
  - \$168 for 2 independent claims over 3.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1254.

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE